

# National Stock Exchange of India Limited

## Circular

| DEPARTMENT: MEMBER SERVICE            |                                 |
|---------------------------------------|---------------------------------|
| <b>Download Ref No: NSE/MSD/58711</b> | <b>Date: September 29, 2023</b> |
| <b>Circular Ref. No: 62/2023</b>      |                                 |

To All Trading Members,

### Sub: Operation of Trading Terminals

This is with reference to Exchange circular NSE/MSD/56778 dated May 22, 2023, and NSE/MSD/58166 dated August 30, 2023, regarding operation of trading terminals. As stated in aforesaid circular, members are requested to select relations namely employee, partner/proprietor/Director(s), registered authorized persons (AP) or employee of an AP, in the option “**Relationship of Proposed User with Trading Member/Authorized Person**” in ENIT form at the time of requesting for new user id creation or reporting of dealer terminal / CTCL in relevant modules of ENIT interface.

Members may take note that below specified relationship status are available upon selection of the given office type:

| Status of Office         | Relationship of Proposed User with Trading Member  |
|--------------------------|--|
| Corporate / Main Office  | Employee<br>Partner/Proprietor/Director            |
| Branch Office            |  |
| Registered Office        |  |
| Authorised Person Office | Authorized Person<br>Employee of Authorized Person |

Members are requested to select appropriate relations as specified above in the option “Relationship of Proposed User with Trading Member/Authorized Person” while placing request under existing ENIT modules under below path-

- ENIT > Trade > User Id Request > New Neat User Id
- ENIT > Trade > User Id Request > Change Neat User Name
- ENIT > Trade > Member Reporting Pre-Trade > CTCL ID Activation
- ENIT > Trade > Member Reporting Pre-Trade > CTCL ID Modification

Further, in case members select office type as “**Authorised Person Office**”; members shall be mandatorily required to enter **their authorized person registration number** in the field provided in the aforesaid modules. In case of Bulk upload templates for CTCL activation and CTCL modification; members shall be required to input the Authorized Person Registration no. in the relevant column of the revised template.

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Exchange is also pleased to announce a new module on ENIT interface to facilitate members to place request for changing the relationship of existing NEAT user Ids. Members can place requests on path- **ENIT > Trade > User Id Request > Change Neat User Office/Relationship status. (Not applicable for user type “NON-NEAT”)**. Bulk upload facility has also been provided in the said module for member convenience.

The below existing reports on ENIT have been updated with additional columns providing details of Registration no. of the Authorized Person.

- Active User ids (Report)
- Active CTCL ids Report

Members are requested to refer the User Manual attached with this circular before submitting request on ENIT.

Accordingly, members are requested to refer the aforesaid reports and are advised to update correct relationships for all user ids / 12-digit CTCL ids by October 30, 2023. Members are also advised to strictly adhere to the above-mentioned timelines and ensure due compliance for the same.

Further, members are required to also ensure that whenever a User of the Member ceases to act in such or any capacity with the Member then user id disablement / 12-digit terminal deactivation / username change requests are submitted to the Exchange within 24 hours on ENIT interface. Failure to upload the details by a member, or any discrepancies observed in the data uploaded by the trading member to the Exchange w.r.t user ids / CTCL ids, shall attract penalty/ disciplinary actions as prescribed by the Exchange from time to time.

The changes in the aforesaid modules shall be effective from October 01, 2023.

For any further clarifications/queries, members may contact on [compliance\\_assistance@nse.co.in](mailto:compliance_assistance@nse.co.in).

**For and on behalf of  
National Stock Exchange of India Limited**

**Bharat Gandhi  
Chief Manager**

|                          |                |
|--------------------------|----------------|
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